

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Finn Larsen

Serial No. : 10/527,924

Cnfrm. No. : 8593

Filed : September 19, 2003

For : EFFERVESCENT FORMULATIONS
COMPRISING APOMORPHINE

Examiner:
To be Assigned

Art Unit:
To be Assigned

COMMUNICATION RESPONDING TO NOTIFICATION OF
MISSING REQUIREMENTS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Prior to mailing of the attached copy of the Notification of Missing Requirements ("Notice"), applicant submitted to the U.S. Patent and Trademark Office ("PTO") a signed Combined Declaration and Power of Attorney form (copy attached). This prior submission satisfies the requirements under 35 U.S.C. § 371 — although the attached copy also suffices in that regard.

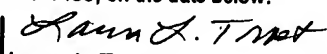
No further materials are required for compliance with the Notice.

Date: October 25, 2005



Edwin V. Merkel
Registration No. 40,087

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (585) 263-1128
Facsimile: (585) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on the date below.	
10/25/05	
Date	Laura L. Trost



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/527,924

FIRST NAMED APPLICANT

Finn Larsen

ATTY. DOCKET NO.

20747/250

INTERNATIONAL APPLICATION NO.

PCT/GB03/04141

I.A. FILING DATE

09/19/2003

PRIORITY DATE

Nixon Peabody
 Clinton Square, Po Box 31051
 Rochester, NY 14603-1051

CONFIRMATION NO. 8593
 371 FORMALITIES LETTER
 OC000000016746949
 OC000000016746949

Date Mailed: 08/25/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/16/2005
- Copy of the International Search Report filed on 03/16/2005
- Preliminary Amendments filed on 03/16/2005
- Information Disclosure Statements filed on 03/16/2005
- Request for Immediate Examination filed on 03/16/2005
- U.S. Basic National Fees filed on 03/16/2005
- Priority Documents filed on 03/16/2005

ENTERED
 Nixon Peabody LLP

AUG 30 2005

FILE 20747/250 MB
 DKT Response Due
October 25, 2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212


PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/527,924	PCT/GB03/04141	20747/250

FORM PCT/DO/EO/905 (371 Formalities Notice)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/527,924
		Filing Date	September 19, 2003
		First Named Inventor	Finn Larsen
		Group Art Unit	To be Assigned
		Examiner Name	To be Assigned
Total Number of Pages in This Submission	12	Attorney Docket Number	20747/250 (ARDBW/P29268US)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply (\$_____) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (\$_____) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement (\$_____) <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Notification of Missing Requirements (\$_____) <input checked="" type="checkbox"/> A copy of the Notification of Missing Requirements	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition (\$_____) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer (\$_____) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (\$_____) (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input type="checkbox"/> Check in the amount of \$_____ <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication Responding to Notification of Missing Requirements
Remarks	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Edwin V. Merkel Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1128 Fax: (585) 263-1600
Signature	 Registration No. 40,087
Date	October 25, 2005

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]	
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<input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____	
<u>10/25/05</u> Date	<u>Laura L. Trost</u> Signature Laura L. Trost Typed or printed name

UTILITY/DESIGN PATENT

(amend/final amend)

Date: 8/8/05

Date of O.A.: _____

Rec'd in the U.S. Patent & Trademark Office on the date stamped hereon

via Certificate of Mail:

Case # 20747/250S/N: 10/527,924Filed: September 19, 2003

Pat. # _____

Issued: _____

Examiner: TBAArt Unit: TBA

Batch: _____

Atty: EVMApplicant: Jinn LarsonTitle: Effervescent Formulations Comprising ...

Re-Exam Control # _____

VERIFIED BY: Asst: LT

Quality Control: _____

☒ Transmittal Sheet in duplicate☐ Amendment in _____ pgs.☐ _____ Mo. Ext. Time in dupe.☐ Change of Address☐ Request for Drawing Changes☐ Small Entity Statement☐ _____ pgs. _____ Drawings☐ Assignment in _____ pgs.☐ \$ _____ Check for Amend.☐ \$ _____ Check for Assign.☐ Issue Per Trans. in duplicate☐ \$ _____ Issue Per/Soft Copies☐ Information Disclosure Statement; PTO-1449 in duplicate with _____ Reference(s)☒ Submission of Notification of the Recording of Change Form P.T. 148/3661st Submission of Combined Declaration and Power of Attorney Form

Edwin V. Merkel
Nixon Peabody LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603-1051

ENTERED
Nixon Peabody LLP

AUG 12 2005

FILE 20747/250
DIST pat

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/527,924
		Filing Date	September 19, 2003
		First Named Inventor	Finn Larsen
		Group Art Unit	To be Assigned
		Examiner Name	To be Assigned
Total Number of Pages in This Submission	7	Attorney Docket Number	20747/250 (ARDBW/P29268US)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply (\$_____) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (\$_____) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement (\$_____) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Notice to File Missing Parts/Incomplete Application (\$_____) <input type="checkbox"/> A copy of the Notice to File Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition (\$_____) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer (\$_____) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (\$_____) (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input type="checkbox"/> Check in the amount of \$_____ <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Submission of Notification of the Recording of a Change – Form PCT/IB/306 Submission of a Combined Declaration and Power of Attorney Form
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name Edwin V. Merkel Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1128 Fax: (585) 263-1600	Registration No. 40,087
Signature	<i>Edwin V. Merkel</i>
Date	August 8, 2005

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<input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____	
Date 8/8/05	Signature <i>Lawrence L. Trout</i>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Finn LARSEN)
)
Applicant: Ardana Bioscience Limited)
)
U.S. National Application No:)
10/527,924 based on PCT/GB2003/004141)
)
Filed: 19 September 2003)
)
For : EFFERVESCENT FORMULATIONS)
COMPRISING APOMORPHINE)
)

SUBMISSIONS OF NOTIFICATION OF THE RECORDING OF A CHANGE
-- FORM PCT/IB/306

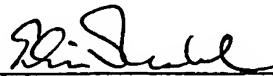
Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith two Notification of the Recording of a Change - Form PCT/IB/306. One PCT/IB/306 form corrects the address of applicant in the PCT application, Ardana Bioscience Limited; and the other PCT/IB/306 form corrects the address of the inventor, Finn LARSEN, in the same PCT application.

Respectfully submitted,

Dated: August 9, 2005


Edwin V. Merkel
Registration No. 40,087

Nixon Peabody LLP
Clinton Square, P. O. Box 31051
Rochester, New York 14603-1051 US
Telephone: 585/263-1128
Facsimile: 585/263-1600

Certificate of Mailing - 37 CFR 1.8(a)

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